# Maximum likelihood estimation of phylogenetic trees and substitution rates 

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## Challenge

We would like to assemble the fungi tree of life.
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1500+ fungal species

http://ocid.nacse.org/research/aftol/about.php

## Many problems to be solved....


http://tolweb.org/tree?group=fungi
Zygomycota is not monophyletic. The position of some lineages such as that of Glomales and of Engodonales-Mortierellales is unclear, but they may lie outside Zygomycota as independent lineages basal to the AscomycotaBasidiomycota lineage (Bruns et al., 1993).

## Phylogeny

Phylogenetic trees describe the evolutionary relations among groups of organisms.


## Constructing trees from sequence data

"Ten years ago most biologists would have agreed that all organisms evolved from a single ancestral cell that lived 3.5 billion or more years ago. More recent results, however, indicate that this family tree of life is far more complicated than was believed and may not have had a single root at all." (W. Ford Doolittle, (June 2000) Scientific American).

Since the proliferation of Darwinian evolutionary biology, many scientists have sought a coherent explanation from the evolution of life and have tried to reconstruct phylogenetic trees.

Methods to reconstruct a phylogenetic tree from DNA sequences include:

- The maximum likelihood estimation (MLE) methods: They describe evolution in terms of a discrete-state continuous-time Markov process. The substitution rate matrix can be estimated using the expectation maximization (EM) algorithm. (for eg. Dempster, Laird, and Rubin (1977), Felsenstein (1981)).
- Distance based methods: It computes pair-wise distances, which can be obtained easily, and combinatorially reconstructs a tree. The most popular method is the neighbor-joining (NJ) method. (for eg. Saito and Nei (1987), Studier and Keppler (1988)).


## However

The MLE methods: An exhaustive search for the ML phylogenetic tree is computationally prohibitive for large data sets.

The NJ method: The NJ phylogenetic tree for large data sets loses so much sequence information.

## Goal:

- Want an algorithm to estimate substitution rate and phylogenetic tree reconstruction by combining the MLE method and the NJ method.
- Want to apply methods to very large datasets.

Note: An algebraic view of these discrete stat problems might help solve this problem.

## The EMGNJ algorithm

The GNJ method: in 2005, Levy, Y., and Pachter introduced the generalized neighbor-joining (GNJ) method, which reconstructs a phylogenetic tree based on comparisons of subtrees rather than pairwise distances

- The GNJ method uses more sequence information: the resulting tree should be more accurate than the NJ method.
- The computational time: polynomial in terms of the number of DNA sequences.

The EMGNJ algorithm (the Algebraic Biology, 2005): iterates between the EM algorithm for estimating substitution rates and the generalized NJ method for phylogenetic tree reconstruction.

# The EM algorithm for estimating substitution rates 

## Pairwise sequences

Suppose we have a pair of sequences at a single site such that:


Assuming time reversibility....


## Complete observation

Suppose we have the complete observation of continuous time Markov chain $x=\left\{x_{t}: 0 \leq t \leq T\right\}$ on the state space $\Sigma=\{A, C, G, T\}$.

Example:


We wish to estimate the substitution rate matrix $Q$ using Maximum Likelihood.

Let $Q=(Q(a, b))_{a, b \in \Sigma} \in \mathbb{R}^{4 \times 4}$.
The waiting time in a state $a$ has the density $-Q(a, a) \exp (-Q(a, a) t)$ and $\operatorname{Prob}(a \rightarrow b)=-Q(a, b) / Q(a, a)$.

Thus we can write the likelihood function of the example as:

$$
e^{Q(A, A)\left(t_{1}+\left(t_{3}-t_{2}\right)\right)+Q(G, G)\left(T-t_{3}\right)+Q(C, C)\left(t_{2}-t_{1}\right)} Q(A, G) Q(A, C) Q(C, A)
$$

So, we can write the likelihood in terms of $T(a)$, the total time spent in state $a$, and $N(a, b)$, the number of substitutions of state $a$ with $b$.

## More generally...

In general, if $Q$ is parametrized by $\theta, Q=Q_{\theta}$, and with $x=\{x(t): 0 \leq$ $t \leq T\}$, the MLE problem for a complete observation is:

$$
\max \quad \mathbf{L}(\theta ; \mathbf{x})=\left[\prod_{\mathbf{a} \in \Sigma} \prod_{\mathbf{b} \neq \mathbf{a}} \mathbf{Q}_{\theta}(\mathbf{a}, \mathbf{b})^{\mathbf{N}(\mathbf{a}, \mathbf{b})}\right]\left[\prod_{\mathbf{a} \in \Sigma} \exp \left(\mathbf{Q}_{\theta}(\mathbf{a}, \mathbf{a})\right)^{\mathbf{T}(\mathbf{a})}\right]
$$

such that $\theta \in \Theta$.
The log-likelihood for a complete observation becomes

$$
\log \mathbf{L}(\theta ; \mathbf{x})=\sum_{\mathbf{a} \in \boldsymbol{\Sigma}} \sum_{\mathbf{b} \neq \mathbf{a}} \mathbf{N}(\mathbf{a}, \mathbf{b}) \log \mathbf{Q}_{\theta}(\mathbf{a}, \mathbf{b})+\sum_{\mathbf{a} \in \boldsymbol{\Sigma}} \mathbf{T}(\mathbf{a}) \mathbf{Q}_{\theta}(\mathbf{a}, \mathbf{a}) .
$$

## The GTR model

Consider the general time reversible (GTR) model.
Let $\pi_{a}, a \in \Sigma, \sum_{a} \pi_{a}=1$, be the stationary distribution of the Markov chain.

The GTR model has substitution rate matrix:

$$
Q_{\theta}=\left[\begin{array}{cccc}
\cdot & \theta_{A G} \pi_{G} & \theta_{A C} \pi_{C} & \theta_{A T} \pi_{T} \\
\theta_{A G} \pi_{A} & \cdot & \theta_{G C} \pi_{C} & \theta_{G T} \pi_{T} \\
\theta_{A C} \pi_{A} & \theta_{G C} \pi_{G} & \cdot & \theta_{C T} \pi_{T} \\
\theta_{A T} \pi_{A} & \theta_{G T} \pi_{G} & \theta_{C T} \pi_{C} & \cdot
\end{array}\right]
$$

where the diagonal elements are such that each row sums to zero.
The 6 unknown parameters are $\theta=\left(\theta_{A G}, \theta_{A C}, \theta_{A T}, \theta_{G C}, \theta_{G T}, \theta_{C T}\right)$.

## Missing data problem

Problem: If we only observe $x(0)$ and $x(T)$ ?
Note: The complete log-likelihood is maximized for

$$
\begin{equation*}
\theta_{\mathbf{a b}}^{*}=\frac{\mathbf{N}(\mathbf{a}, \mathbf{b})+\mathbf{N}(\mathbf{b}, \mathbf{a})}{\pi_{\mathbf{b}} \mathbf{T}(\mathbf{a})+\pi_{\mathbf{a}} \mathbf{T}(\mathbf{b})}, \quad \mathbf{a} \neq \mathbf{b} \tag{1}
\end{equation*}
$$

The EM algorithm:

1. (Expectation Step) Calculate $T(a)^{*}:=E[T(a): x(0), x(T)]$ and $N(a, b)^{*}:=E[N(a, b): x(0), x(T)]$.
2. (Maximization Step) Substitute $T(a)^{*}$ and $N(a, b)^{*}$ into Equation (1).

Iterate between Step 1 and Step 2 until convergence.
[due to the Chapman-Kolmogorov equation, Hobolth and Jensen, 2005]
Denote the transition matrix $P(t)=\exp (Q t)$.

- Time spent in state $a$

$$
\mathrm{E}[\mathbf{T}(\mathbf{a}) \mid \mathbf{x}(\mathbf{0})=\mathbf{i}, \mathbf{x}(\mathbf{T})=\mathbf{j}]=\int_{0}^{\mathbf{T}} \mathbf{P}_{\mathrm{ia}}(\mathbf{t}) \mathbf{P}_{\mathrm{aj}}(\mathbf{T}-\mathbf{t}) \mathrm{dt} / \mathbf{P}_{\mathrm{ij}}(\mathbf{T})
$$

- Number of transitions between states $a$ and $b$

$$
\mathrm{E}[\mathbf{N}(\mathbf{a}, \mathbf{b}) \mid \mathbf{x}(\mathbf{0})=\mathbf{i}, \mathbf{x}(\mathbf{T})=\mathbf{j}]=\mathbf{Q}(\mathbf{a}, \mathbf{b}) \int_{0}^{\mathbf{T}} \mathbf{P}_{\mathrm{ia}}(\mathbf{t}) \mathbf{P}_{\mathrm{bj}}(\mathbf{T}-\mathbf{t}) \mathbf{d t} / \mathbf{P}_{\mathrm{ij}}(\mathbf{T})
$$

## Multiple sequences

Assuming that the multiple alignment is given. We fix the tree topology for a tree $T$ with $n$ leaves. Note that there are $2 n-3$ edges in $T$.

The single site complete log-likelihood becomes
$\log \mathbf{L}(\theta ; \mathbf{x})=\sum_{\mathbf{i}=1}^{2 \mathbf{n}-\mathbf{3}}\left(\sum_{\mathbf{a} \in \Sigma} \sum_{\mathbf{b} \neq \mathbf{a}} \mathbf{N}^{\mathbf{i}}(\mathbf{a}, \mathbf{b}) \log \mathbf{Q}^{\mathbf{i}}(\mathbf{a}, \mathbf{b})+\sum_{\mathbf{a} \in \boldsymbol{\Sigma}} \mathbf{T}^{\mathbf{i}}(\mathbf{a}) \mathbf{Q}^{\mathbf{i}}(\mathbf{a}, \mathbf{a})\right)$
where $T^{i}(a)$ is the total time spent in state $a$ on edge $i$ and $N^{i}(a, b)$ is the number of transitions from $a$ to $b$ on edge $i$.

Apply the previous equations and Felsenstein's pruning algorithm to solve the problem.

# The GNJ method 

MJOIN is available at http://bio.math.berkeley.edu/mjoin/.

## Neighbor Joining method

Def. We call a pair of two distinct leaves $\{i, j\}$ a cherry if there is exactly one intermediate node on the unique path between $i$ and $j$.

Let $D(i j)$ be a pairwise distance between $i$ and $j$.
Thm. [Saitou-Nei, 1987 and Studier-Keppler, 1988]
Let $A \in \mathbb{R}^{n \times n}$ such that $A_{i j}=D(i j)-\left(r_{i}+r_{j}\right) /(n-2)$, where $r_{i}:=$ $\sum_{k=1}^{n} D(i k) .\left\{i^{*}, j^{*}\right\}$ is a cherry in $T$ if $A_{i^{*} j^{*}}$ is a minimum over all $i$ and $j$.

Neighbor Joining Method:
Idea. Initialize a star-like tree. Then find a cherry $\{i, j\}$ and compute branch length from the interior node $x$ to $i$ and from $x$ to $j$. Repeat this process recursively until we find all cherries.

## Neighbor Joining Method




## The GNJ method

- Extended the Neighbor Joining method with the total branch length of $m$-leaf subtrees.
- Increasing $2 \leq m \leq n-2$, since there are more data, a reconstructed tree from GNJ method gets closer to the true tree than the Saito-Nei NJ method.
- The time complexity of GNJ method is $O\left(n^{m}\right)$.

Note: If $m=2$, then GNJ method is the Neighbor Joining method with pairwise distances.

## Notation and definitions

Notation. Let $[n]$ denote the set $\{1,2, \ldots, n\}$ and $\binom{[n]}{m}$ denote the set of all $m$-element subsets of $[n]$.

Def. A m-dissimilarity map is a function $D:\binom{[n]}{m} \rightarrow \mathbb{R}_{\geq 0}$.
In the context of phylogenetic trees, the map $D\left(i_{1}, i_{2}, \ldots, i_{m}\right)$ measures the weight of a subtree that spans the leaves $i_{1}, i_{2}, \ldots, i_{m}$.

Denote $D\left(i_{1} i_{2} \ldots i_{m}\right):=D\left(i_{1}, i_{2}, \ldots, i_{m}\right)$.

## Weights of Subtrees in $T$


$D(i j k l)$ is the total branch length of the subtree in green. Also $D\left(x_{1} x_{2}\right)$ is the total branch length of the subtree in pink and it is also a pairwise distance between $x_{1}$ and $x_{2}$.

Thm. [Levy, Y., Pachter, 2005] Let $D_{m}$ be an $m$-dissimilarity map on $n$ leaves of a tree $T, D_{m}:\binom{[n]}{m} \rightarrow \mathbb{R}_{\geq 0}$ corresponding $m$-subtree weights, and define

$$
\mathbf{S}(\mathbf{i j}):=\sum_{\substack{\mathbf{X} \in\left(\begin{array}{l}
{[\mathrm{n}]\{\mathbf{i}, \mathbf{i}\} \\
\mathrm{m}-2}
\end{array}\right)}} \mathrm{D}_{\mathrm{m}}(\mathbf{i j X} \mathbf{X}) .
$$

Then $S(i j)$ is a tree metric.
Furthermore, if $T^{\prime}$ is based on this tree metric $S(i j)$ then $T^{\prime}$ and $T$ have the same tree topology and there is an invertible linear map between their edge weights.

Note. This means that if we reconstruct $T^{\prime}$, then we can reconstruct $T$.

## Neighbor Joining with Subtree Weights

Input: $n$ DNA sequences and an integer $2 \leq m \leq n-2$.
Output: A phylogenetic tree $T$ with $n$ leaves.

1. Compute all $m$-subtree weights via the ML method.
2. Compute $S(i j)$ for each pair of leaves $i$ and $j$.
3. Apply Neighbor Joining method with a tree metric $S(i j)$ and obtain additive tree $T^{\prime}$.
4. Using a one-to-one linear transformation, obtain a weight of each internal edge of $T$ and a weight of each leaf edge of $T$.

## The EMGNJ Algorithm

Input: $n$ DNA sequences and an integer $2 \leq m \leq n-2$.
Output: The GTR rates and a phylogenetic tree.

1. Estimate stationary distribution from empirical frequencies.
2. Reconstruct tree using the GNJ method under the JC69 model.
3. Estimate GTR substitution rates and edge lengths from current tree via the EM algorithm.
4. Reconstruct tree using the GNJ method and current GTR rates.
5. If likelihood is not improved return current tree and GTR rates; otherwise go to 3.

## Simulation Results

We implemented subroutines of the EMGNJ algorithm, Step 3 and Step 4 with $m=4$ under the JC model.

## S-locus receptor kinase (SRK)

In pollen, Plant self-incompatibility (SI) specificity is determined by the S-locus cysteine-rich protein gene (SCR), which encodes small secreted hydrophilic and positively charged proteins of 50 to 59 amino acids.

Both SRK and SCR are members of large families of genes that are expressed in a variety of plant tissues.

Maturation of the flower in self-incompatible crucifers is accompanied by the insertion of SRK into the plasma membrane of stigma epidermal cells and of SCR into the pollen coat.
"Recognition and rejection of self in plant reproduction" by JB Nasrallah Science, 296, (2002) p 305 - 308.

## Ruriko Yoshida



Figure 1: Nasrallah (2002), Nature

Find the phylogenetic tree for 21 different species' S-locus receptor kinase (SRK) sequences involved in the self/nonself discriminating selfincompatibility system of the mustard family (Sainudiin et al, 2005).

Symmetric difference $(\boldsymbol{\Delta})$ between 10,000 trees sampled from the likelihood function via MCMC and the trees reconstructed by 5 methods.

DNAml(A) is a basic search with no global rearrangements, whereas DNAmI(B) applies a broader search with global rearrangements and randomize input order of sequences 100 times.
$A=$ sub-routine of the EMGNJ method, $B=$ Saitou-Nei NJ method, $C=$ fastDNAml, $D=\operatorname{DNAml}(A), F=\operatorname{DNAmI}(B)$, and $G=\operatorname{TrExML}$.

| $\boldsymbol{\Delta}$ | A | B | C | D | F | G |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 0 | 0 | 0 | 0 | 2 | 3608 | 0 |
| 2 | 77 | 0 | 0 | 1 | 471 | 0 |
| 4 | 3616 | 171 | 6 | 3619 | 5614 | 0 |
| 6 | 680 | 5687 | 5 | 463 | 294 | 5 |
| 8 | 5615 | 4134 | 3987 | 5636 | 13 | 71 |
| 10 | 12 | 8 | 5720 | 269 | 0 | 3634 |
| 12 | 0 | 0 | 272 | 10 | 0 | 652 |
| 14 | 0 | 0 | 10 | 0 | 0 | 5631 |
| 16 | 0 | 0 | 0 | 0 | 0 | 7 |

The result tree via the EMGNJ method is much better than the Saito-Nei NJ metho dTrExML and fastDNAmI.

# A unifying framework: Algebraic Statistics 

## What is Algebraic Statistics?

Algebraic Statistics is to apply computational commutative algebraic techniques to statistical problems.

The algebraic view of discrete statistical models has been applied in many statistical problems, including:

- conditional inference [Diaconis and Sturmfels 1998]
- disclosure limitation [Sullivant 2005]
- the maximum likelihood estimation [Hosten et al 2004]
- parametric inference [Pachter and Sturmfels 2004]
- phylogenetic invariants [Allman and Rhodes 2003, Eriksson 2005, etc].


## Algebraic statistical models

An algebraic statistical model arises as the image of a polynomial map

$$
\mathbf{f}: \mathbb{R}^{d} \rightarrow \mathbb{R}^{m}, \quad \theta=\left(\theta_{1}, \ldots, \theta_{d}\right) \mapsto\left(p_{1}(\theta), p_{2}(\theta), \ldots, p_{m}(\theta)\right) .
$$

The unknowns $\theta_{1}, \ldots, \theta_{d}$ represent the model parameters.
In the view of algebraic geometry, statistical models are algebraic varieties, sets of points where all given polynomials vanish at the same time.

Note: The phylogenetic models are also algebraic varieties.
Note: The MLE problem is a polynomial optimization problem over the image of $f$.

## Jukes-Cantor Model

Consider the Jukes-Cantor (JC) model.
The JC model has substitution rate matrix:

$$
Q=\left(\begin{array}{cccc}
-3 \alpha & \alpha & \alpha & \alpha \\
\alpha & -3 \alpha & \alpha & \alpha \\
\alpha & \alpha & -3 \alpha & \alpha \\
\alpha & \alpha & \alpha & -3 \alpha
\end{array}\right)
$$

where $\alpha \geq 0$ is a parameter. The corresponding substitution matrix equals

$$
\theta(t)=\frac{1}{4}\left(\begin{array}{cccc}
1+3 e^{-4 \alpha t} & 1-e^{-4 \alpha t} & 1-e^{-4 \alpha t} & 1-e^{-4 \alpha t} \\
1-e^{-4 \alpha t} & 1+3 e^{-4 \alpha t} & 1-e^{-4 \alpha t} & 1-e^{-4 \alpha t} \\
1-e^{-4 \alpha t} & 1-e^{-4 \alpha t} & 1+3 e^{-4 \alpha t} & 1-e^{-4 \alpha t} \\
1-e^{-4 \alpha t} & 1-e^{-4 \alpha t} & 1-e^{-4 \alpha t} & 1+3 e^{-4 \alpha t}
\end{array}\right)
$$

However, they are not polynomials.... But we can do the following: Introduce the new two parameters

$$
\pi_{\mathrm{i}}=\frac{1}{4}\left(1-\mathrm{e}^{-4 \alpha_{\mathrm{i}} \mathrm{t}_{\mathrm{i}}}\right) \quad \text { and } \quad \mu_{\mathrm{i}}=\frac{1}{4}\left(1+3 \mathrm{e}^{-4 \alpha_{\mathrm{i}} \mathrm{t}_{\mathrm{i}}}\right)
$$

These parameters satisfy the linear constraint

$$
\mu_{\mathrm{i}}+3 \pi_{\mathrm{i}}=\mathbf{1}
$$

and the branch length $t_{i}$ of the $i$ th edge can be recovered as follows:

$$
\mathbf{3} \alpha_{\mathrm{i}} \mathbf{t}_{\mathbf{i}}=-\frac{\mathbf{1}}{\mathbf{4}} \cdot \log \operatorname{det}\left(\theta^{\mathrm{i}}\right)=-\frac{\mathbf{3}}{\mathbf{4}} \cdot \log \left(\mathbf{1}-\mathbf{4} \pi_{\mathrm{i}}\right)
$$

The parameters are simply the entries in the substitution matrix

$$
\theta^{i}=\left(\begin{array}{cccc}
\mu_{i} & \pi_{i} & \pi_{i} & \pi_{i} \\
\pi_{i} & \mu_{i} & \pi_{i} & \pi_{i} \\
\pi_{i} & \pi_{i} & \mu_{i} & \pi_{i} \\
\pi_{i} & \pi_{i} & \pi_{i} & \mu_{i}
\end{array}\right)
$$

The Jukes-Cantor model on the tree $T$ with $r$ edges and $n$ leaves is the polynomial map

$$
\mathrm{f}: \mathbb{R}^{\mathrm{r}} \rightarrow \mathbb{R}^{4^{\mathrm{n}}}
$$

## Example

Suppose we have an unrooted tree $T$ with leaves $\{1,2,3\}$ with letters $\Sigma=\{A, C, G, T\}$ at a single site. Want to estimate all parameters.


This model is a three-dimensional algebraic variety, given as the image of a trilinear map

$$
\mathrm{f}: \mathbb{R}^{3} \rightarrow \mathbb{R}^{64} .
$$

## Example cont

Let $p_{123}$ be the probability of observing the same letter at all three leaves, $p_{i j}$ the probability of observing the same letter at the leaves $i, j$ and a different one at the third leaf, and $p_{d i s}$ the probability of seeing three distinct letters.

$$
\begin{array}{rlc}
p_{123} & = & \mu_{1} \mu_{2} \mu_{3}+3 \pi_{1} \pi_{2} \pi_{3}, \\
p_{d i s} & = & 6 \mu_{1} \pi_{2} \pi_{3}+6 \pi_{1} \mu_{2} \pi_{3}+6 \pi_{1} \pi_{2} \mu_{3}+6 \pi_{1} \pi_{2} \pi_{3} \\
p_{12} & = & 3 \mu_{1} \mu_{2} \pi_{3}+3 \pi_{1} \pi_{2} \mu_{3}+6 \pi_{1} \pi_{2} \pi_{3}, \\
p_{13} & = & 3 \mu_{1} \pi_{2} \mu_{3}+3 \pi_{1} \mu_{2} \pi_{3}+6 \pi_{1} \pi_{2} \pi_{3}, \\
p_{23} & = & 3 \pi_{1} \mu_{2} \mu_{3}+3 \mu_{1} \pi_{2} \pi_{3}+6 \pi_{1} \pi_{2} \pi_{3} .
\end{array}
$$

All 64 coordinates of $\mathbf{f}$ are given by these five trilinear polynomials, namely,

$$
\begin{array}{r}
f_{\mathrm{AAA}}=f_{\mathrm{CCC}}=f_{\mathrm{GGG}}=f_{\mathrm{TTT}}=\frac{1}{4} \cdot p_{123}, \\
f_{\mathrm{ACG}}=f_{\mathrm{ACT}}=\cdots=f_{\mathrm{GTC}}=\frac{1}{24} \cdot p_{d i s}, \\
f_{\mathrm{AAC}}=f_{\mathrm{AAT}}=\cdots=f_{\mathrm{TTG}}=\frac{1}{12} \cdot p_{12}, \\
f_{\mathrm{ACA}}=f_{\mathrm{ATA}}=\cdots=f_{\mathrm{TGT}}=\frac{1}{12} \cdot p_{13}, \\
f_{\mathrm{CAA}}=f_{\mathrm{TAA}}=\cdots=f_{\mathrm{GTT}}=\frac{1}{12} \cdot p_{23} .
\end{array}
$$

This means that the Jukes-Cantor model is the image of the simplified map

$$
\mathbf{f}^{\prime}: \mathbb{R}^{3} \rightarrow \mathbb{R}^{5},\left(\left(\mu_{1}, \pi_{1}\right),\left(\mu_{2}, \pi_{2}\right),\left(\mu_{3}, \pi_{3}\right)\right) \mapsto\left(\mathbf{p}_{123}, \mathbf{p}_{\mathrm{dis}}, \mathbf{p}_{12}, \mathbf{p}_{13}, \mathbf{p}_{23}\right) .
$$

## Characterize the image of $\mathbf{f}^{\prime}$

Do the following linear change of coordinates:

$$
\begin{aligned}
q_{111} & =p_{123}+\frac{1}{3} p_{d i s}-\frac{1}{3} p_{12}-\frac{1}{3} p_{13}-\frac{1}{3} p_{23}=\left(\mu_{1}-\pi_{1}\right)\left(\mu_{2}-\pi_{2}\right)\left(\mu_{3}-\pi_{3}\right) \\
q_{110} & =p_{123}-\frac{1}{3} p_{d i s}+p_{12}-\frac{1}{3} p_{13}-\frac{1}{3} p_{23}=\left(\mu_{1}-\pi_{1}\right)\left(\mu_{2}-\pi_{2}\right)\left(\mu_{3}+3 \pi_{3}\right) \\
q_{101} & =p_{123}-\frac{1}{3} p_{d i s}-\frac{1}{3} p_{12}+p_{13}-\frac{1}{3} p_{23}=\left(\mu_{1}-\pi_{1}\right)\left(\mu_{2}+3 \pi_{2}\right)\left(\mu_{3}-\pi_{3}\right) \\
q_{011} & =p_{123}-\frac{1}{3} p_{d i s}-\frac{1}{3} p_{12}-\frac{1}{3} p_{13}+p_{23}=\left(\mu_{1}+3 \pi_{1}\right)\left(\mu_{2}-\pi_{2}\right)\left(\mu_{3}-\pi_{3}\right) \\
q_{000} & =p_{123}+p_{d i s}+p_{12}+p_{13}+p_{23}=\left(\mu_{1}+3 \pi_{1}\right)\left(\mu_{2}+3 \pi_{2}\right)\left(\mu_{3}+3 \pi_{3}\right) .
\end{aligned}
$$

This model is the hypersurface in $\Delta_{4}$ whose ideal equals

$$
\mathbf{P}_{\mathbf{f}^{\prime}}=\left\langle\mathbf{q}_{000} \mathbf{q}_{111}^{2}-\mathbf{q}_{011} \mathbf{q}_{101} \mathbf{q}_{110}\right\rangle
$$

## Commutative algebraic methods to phylogenetics.

Using the algebraic techniques with the JC model with triplets, interval arithmetics, and the GNJ method, one can reconstruct a phylogenetic tree from DNA sequences (Sainudiin and Y. 2005).

One can find more tree invariants with the JC model, the Kimura 2parameter model (K80), and the Kimura 3-parameter model (K81) at http://www.math.tamu.edu/~lgp/small-trees/small-trees.html.

Using these invariants and the GNJ method one can reconstruct a phylogenetic tree from DNA sequences (Contois and Levy, 2005).

One can find more applications of algebra to computational biology at our new book Algebraic Statistics for Computational Biology edited by Pachter and Sturmfels, Cambridge University Press 2005.

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- R. Sainudiin (Statistics, Oxford) and R. Yoshida, "Applications of Interval Methods to Phylogenetic trees" Algebraic Statistics for Computational Biology edited by Lior Pachter and Bernd Sturmfels, (2005) Cambridge University Press, p359-374.


## Thank you....

